

# Computational Models - Lecture 12<sup>1</sup>

## Handout Mode

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<sup>1</sup>Based on frames by Benny Chor, Tel Aviv University, modifying frames by Maurice Herlihy, Brown University.

## Talk Outline

- Reminder - poly-time reductions, NP-completeness and SAT
- SAT is NP-Complete
- $SAT \leq_P 3SAT$
- Hamiltonian Path Is NP-Complete
- SUBSET-SUM Is NP-Complete
- Integer-Programming is NP-Complete
  
- Sipser, 7.4–7.5

# Section 1

## **Reminder**

## Reminder – Poly-time Reductions

### Definition 1

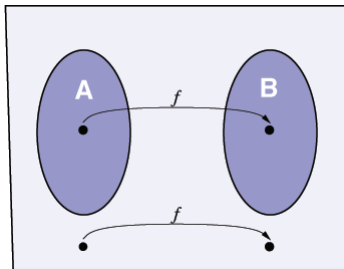
A language  $A$  is **poly-time mapping reducible** to  $B$ , denoted  $A \leq_p B$ , if exists poly-time computable function  $f$  such that

$$w \in A \iff f(w) \in B.$$

for every  $w \in \Sigma^*$ .

The function  $f$  is called a **polynomial-time reduction** from  $A$  to  $B$ .

The mapping  $f$  **efficiently** converts questions about membership in  $A$  to membership in  $B$ .



## Reminder – NP Completeness

### Definition 2 (NP-complete)

A language  $B$  is **NP-complete**, if

- $B \in \mathcal{NP}$ , and
- Every  $A \in \mathcal{NP}$  is **poly-time** reducible to  $B$

We let  $\mathcal{NPC}$  denote the class of all NP-complete languages.

$$A_{\text{NP}} = \{ \langle M, x, 1^n \rangle : M \text{ is a Turing Machine} \\ \wedge \exists c \in \Sigma^* \text{ s.t. } M(x, c) \text{ accepts within } n \text{ steps} \}$$

### Theorem 3

$$A_{\text{NP}} \in \mathcal{NPC}$$

### Theorem 4

Assume that  $B \in \mathcal{NP}$ ,  $A \in \mathcal{NPC}$  and  $A \leq_p B$ , then  $B \in \mathcal{NPC}$ .

## Boolean Formulas and SAT

A **Boolean** formula is an expression involving Boolean variables and operations.

$$\phi = (\bar{x} \wedge y) \vee (x \wedge \bar{z})$$

### Definition 5 (satisfiable formula)

A formula is **satisfiable**, if some assignment of 0s and 1s to the variables makes the formula evaluate to 1.

The formula  $\phi = (\bar{x} \wedge y) \vee (x \wedge \bar{z})$  is satisfiable by the assignment

$$x = 0$$

$$y = 1$$

$$z = 0$$

The language of satisfied formulas:

$$\text{SAT} = \{ \langle \phi \rangle : \phi \text{ is a satisfiable Boolean formula} \}$$

## Section 2

# SAT is NP-Complete

$SAT \in \mathcal{NP}^C$

### Theorem 6 (Cook-Levin, early 70s)

$SAT \in \mathcal{NP}^C$ .

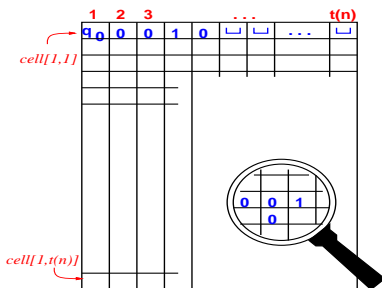
Proof's idea:

- Suppose  $L \in \mathcal{NP}$  and let  $M = (Q, \Sigma, \Gamma, \delta, q_0, q_a, q_r)$  be an  $n^c$ -time **NTM** that accepts  $L$ .
- Given the string  $w \in \{0, 1\}^*$ , we construct in time  $O(|w|^{2c})$  a formula  $\phi_{M,w}$  such that:  $\phi_{M,w} \in \mathbf{SAT}$  iff  $M$  accepts  $w$
- Hence, the mapping  $w \mapsto \phi_{M,w}$  is a poly-time reduction from  $L$  to **SAT**, establishing  $L \leq_P \mathbf{SAT}$ .
  
- In the following we fix  $L$ ,  $M$  and  $w \in \{0, 1\}^n$ .



## The Configuration-History Tableau

Consider the  $n^c$ -by- $n^c$  tableau that describes a computation history of  $M$  on input  $w$ .



- First row represents initial configuration of  $M$  on input  $w$ .
- $i$ 'th row represents a possible  $i$ -th configuration in a computation of  $M$  on input  $w$ .

The Boolean formula  $\phi_{M,w}$  “mimics” the tableau.

## The formula $\phi_{M,w}$

- Let  $S = Q \cup \Gamma$  be the alphabet of the configuration history
- $\phi_{M,w}$  uses Boolean variables  $\{x_{i,j,s}\}_{i,j \in [n^c], s \in S}$ :  
Idea:  $x_{i,j,s} = 1$  iff the  $j$ -th cell in  $i$ 'th configuration contains the symbol  $s$ :
- The formula  $\phi_{M,w}$  is of the form:

$$\phi_{M,w} = \phi_{\text{Cell}(M)} \wedge \phi_{\text{Start}(w)} \wedge \phi_{\text{Accept}(M)} \wedge \phi_{\text{Move}(M)}$$

- Given an assignment  $\mathbf{z}$  for  $\phi_{M,w}$ , let  $T(\mathbf{z})$  be the  $n^c \times n^c$  tableau, defined by setting the  $j$ -th cell in  $i$ 'th configuration to  $s$ , if  $x_{i,j,s} = 1$  in  $\mathbf{z}$ .  
( $T(\mathbf{x})$  is **undefined**. in case  $x_{i,j,s'} = x_{i,j,s} = 1$  for some  $s \neq s' \in S$ , or  $x_{i,j,s'} = 0$  for all  $s \in S$ ).

## The formula $\phi_{\text{Cell}(M)}$

$\phi_{\text{Cell}(M)}$  guarantees that the variables encode **legal** configurations:

- Each cell  $(i, j)$  has at least one letter:  $\bigvee_{s \in S} x_{i,j,s}$ .
- No cell  $(i, j)$  has two or more letters  $\bigwedge_{s \neq s' \in S} \overline{x_{i,j,s} \wedge x_{i,j,s'}}$ .

Together:

$$\phi_{\text{Cell}(M)} = \bigwedge_{i,j} \left[ \left( \bigvee_{s \in S} x_{i,j,s} \right) \wedge \left( \bigwedge_{s \neq s' \in S} \overline{x_{i,j,s} \wedge x_{i,j,s'}} \right) \right]$$

### Claim 7

Assume  $z$  satisfies  $\phi_{\text{Cell}(M)}$ , then  $T(z)$  is defined.

## The formula $\phi_{\text{Start}(w)}$

$\phi_{\text{Start}(w)}$  guarantees that the first row encodes the initial configuration (i.e.,  $q_0 w$ ).

$$\begin{aligned}\phi_{\text{start}(w)} = & X_{1,1,q_0} \wedge X_{1,2,w_1} \wedge X_{1,3,w_2} \wedge \dots \wedge X_{1,n+1,w_n} \\ & \wedge X_{1,n+2,\sqcup} \wedge \dots \wedge X_{1,n^c,\sqcup}\end{aligned}$$

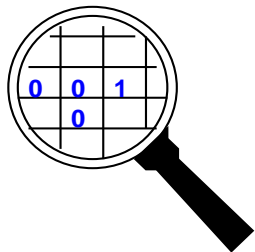
### Claim 8

Assume  $z$  satisfies  $\phi_{\text{Cell}(M)} \wedge \phi_{\text{Start}(w)}$ , then the first line of  $T(z)$  is  $q_0 w \underbrace{\sqcup \dots \sqcup}_{n^c - n - 1}$ .

## The formula $\phi_{\text{Move}(M)}$

$\phi_{\text{Move}(M)}$  is the “heart” of  $\phi_{M,w}$ . To construct it, we employ **locality** of computations.

- To determine content of tableau entry  $(i, j)$  (i.e., cell  $j$  in configuration  $i$ ), it only requires to know  $M$ 's table and the contents of the three tableau entries:  $(i-1, j-1), (i-1, j), (i-1, j+1)$ .



- If head not in area, **nothing changes**.
- If head is in area, changes are local and are **determined** by  $\delta$ .

## $\phi_{\text{Move}(M)}$ – Rectangles

A **rectangle** is a  $2 \times 3$  configuration sub-table.

Assume that

$$\delta(q_1, a) = \{(q_1, b, R)\} \text{ and } \delta(q_1, b) = \{(q_2, c, L), (q_2, a, R)\}.$$

- **Legal**  $2 \times 3$  rectangles:

a	$q_1$	b
$q_2$	a	c

a	$q_1$	b
a	a	$q_2$

a	a	$q_1$
a	a	b

a	b	a
a	b	$q_2$

b	b	b
c	b	b

a	a	b
a	a	b

- **Illegal**  $2 \times 3$  rectangles:

a	b	a
a	a	a

a	$q_1$	b
$q_1$	a	a

b	$q_1$	b
$q_2$	b	$q_2$

## $\phi_{\text{Move}(M)}$ – Characterizing legal rectangles

The formula “verifies” that all  $2 \times 3$  rectangles in the Tableau are in the list  $C$ : For all  $a, a', b, b', c \in \Gamma$ ,  $q, q' \in Q$  and  $* \in S$ , add the following rectangles to  $C$ :

1

a	b	c
*	b	*

2

a	q	b
q'	a	b'

a	q	b
a	b'	q'

$(L, q', b') \in \delta(q, b)$      $(R, q', b') \in \delta(q, b)$

3

q	*	*
*	*	*

*	*	q
*	*	*

- Some rectangles in  $C$  are clearly **illegal**.
- For rectangles on the **left-most and right-most side** of Tableau, we use a slightly different **first** type rectangles.

## $\phi_{\text{Move}(M)}$ – formal definition

- For each entry  $(i, j) \in [n^c] \times [n^c]$  and  $c \in C$ , let  $\phi_{\text{Move},i,j,c}$  be the formula taking the value 1 iff the  $2 \times 3$  table of cells in the Tableau whose upper-left corner is  $(i, j)$  is  $c$ .

For instance, for entry  $(1, 1)$  and  $c =$

a	$q_1$	b
$q_2$	a	c

let  $\phi_{\text{Move},1,1,c} = x_{1,1,a} \wedge x_{1,2,q_1} \wedge x_{1,3,b} \wedge x_{2,1,q_2} \wedge x_{2,2,a} \wedge x_{2,3,c}$

- Finally, let  $\phi_{\text{Move}(M)} = \bigwedge_{(i,j)} \bigvee_{c \in C} \phi_{\text{Move},i,j,c}$ .

### Claim 9

Assume  $z$  satisfies  $\phi_{\text{Cell}(M)} \wedge \phi_{\text{Start}(w)} \wedge \phi_{\text{Move}(M)}$ , then  $T(z)$  is a **possible** configuration history of  $M(w)$ .

Proof: By induction on the row index. Base case:  $z$  satisfies  $\phi_{\text{Cell}(M)} \wedge \phi_{\text{Start}(w)}$ . Assume configuration defined in rows  $1, \dots, i$  is possible and head is in cell  $j$ . The configuration of rows  $1, \dots, i+1$  is also possible: Cells of indices **not** in  $\{j-1, j, j+1\}$ , by **first** type of rectangles in  $C$ . Other cells, by **second** type rectangles in  $C$ . **Q**: Why do we need the **third** type of cells?



## The formula $\phi_{\text{Accept}(M)}$

$\phi_{\text{Accept}(M)}$  guarantees that some row encodes an accepting configuration ( i.e.,  $uq_a v$ ):

$$\phi_{\text{Accept}(M)} = \bigvee_{i,j} x_{i,j,q_a}$$

### Claim 10

Assume  $z$  satisfies  $\phi_{M,w} = \phi_{\text{Accept}(M)} \wedge \phi_{\text{Cell}(M)} \wedge \phi_{\text{Start}(w)} \wedge \phi_{\text{Move}(M)}$ , then  $T(z)$  is an **accepting** configuration history of  $M(w)$ .

## Correctness of Reduction

- The transformation  $w \mapsto \phi_{M,w}$  is computable in time  $O(n^{2c})$ .
- An assignment satisfying  $\phi_{M,w}$ , corresponds to an **accepting** configuration history of  $M(w)$ .
- An **accepting** configuration history of  $M(w)$  corresponds to an assignment satisfying  $\phi_{M,w}$ . (?)

Therefore,  $M$  accepts  $w$  iff  $\phi_{M,w} \in \text{SAT}$ .



- For complete details, consult Sipser chapter 7.4.

## Section 3

# 3SAT Is NP-Complete

## SAT and 3SAT

- $\text{SAT} = \{\langle \phi \rangle : \phi \text{ is a satisfiable Boolean formula}\}$
- A Boolean formula is in **conjunctive normal form** (CNF) if it consists of **clauses**, connected with  $\wedge$ 's.  
For example:  $(x_1 \vee \overline{x_2} \vee \overline{x_3} \vee x_4) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6})$
- A Boolean formula is in **k-CNF form**, if it is a **CNF** formula, and all clauses have **k** literals.
- $\text{3SAT} = \{\langle \phi \rangle : \phi \text{ is satisfiable 3CNF formula}\}$ .
- $\text{CSAT} = \{\langle \phi \rangle : \phi \text{ is a satisfiable CNF formula}\}$
- One can slightly modify the proof of Cook-Levin theorem we just seen, to prove that  $\text{CSAT} \in \mathcal{NP}$  ! (take home exercise :-))
- Hence, to prove that  $\text{3SAT} \in \mathcal{NP}$ , it suffices to prove that  $\text{CSAT} \leq_P \text{3SAT}$ .

## CSAT $\leq_P$ 3SAT

- The reduction maps CNF formulae to 3CNF ones “clause by clause”.

A clause with  $d$  literals is mapped to  $d$  clauses, built on the original literals together with  $(d - 3)$  new ones.

- For example:  $\phi = (x_1 \vee \bar{x}_2 \vee \bar{x}_3 \vee x_4 \vee x_8) \mapsto$   
 $\phi_3 = (x_1 \vee \bar{x}_2 \vee y_1) \wedge (\bar{y}_1 \vee \bar{x}_3 \vee y_2) \wedge (\bar{y}_2 \vee x_4 \vee x_8)$

### Claim 11

$\phi$  has a satisfying assignment iff  $\phi_3$  does.

Proof's idea:

$\Leftarrow$  An assignment satisfying  $\phi_3$  cannot “rely” on new literals alone – at least one original literal must be satisfied.

$\Rightarrow$  An assignment satisfying  $\phi$  makes at least one literal per clause **happy**. In the “ $\phi_3$  clause” of this literal the new variable is under no constraints. This enables propagation to a satisfying assignment that “relies” on new vars alone in rest of  $\phi_3$  clauses.

## $SAT \leq_P 3SAT, 2$

$$\phi = (x_1 \vee \overline{x_2} \vee \overline{x_3} \vee x_4 \vee x_8) \mapsto$$

$$\phi_3 = (x_1 \vee \overline{x_2} \vee y_1) \wedge (\overline{y_1} \vee \overline{x_3} \vee y_2) \wedge (\overline{y_2} \vee x_4 \vee x_8)$$

### Claim 12

$\phi$  has a satisfying assignment iff  $\phi_3$  does.

- Doing the above mapping to **each** clause of a **CNF** formula, we get a **3CNF** that is satisfied iff the original one is.
- Since this mapping is polynomial time (?), we get  $CSAT \leq_P 3SAT$ .  
♣.

## Section 4

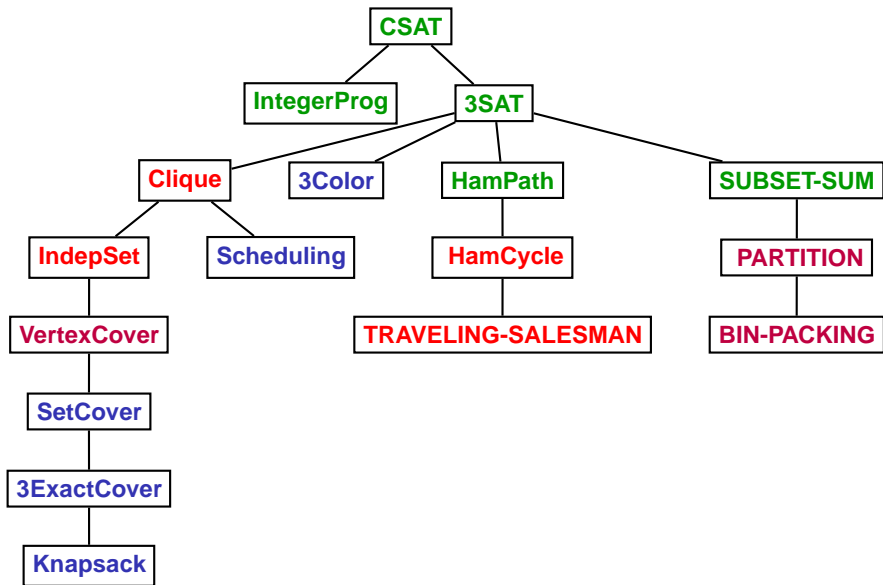
# Summary so Far

## Summary So Far

- We have seen that **SAT** and **CSAT** are NP-complete.
- **CSAT**  $\leq_P$  **3SAT**
- **3SAT**  $\leq_P$  **CLIQUE**
- **CLIQUE**  $\leq_P$  **IND-SET**
- In recitation: **CLIQUE**  $\leq_P$  **VC** (Vertex Cover),  
**IND-SET**  $\leq_P$  **PARTITION**, **PARTITION**  $\leq_P$  **BIN-PACKING**
- Hence, all of the above languages are NP-complete
- Full list of NP-complete languages contains hundreds or thousands of known NP-complete problems (from combinatorics, operation research, VLSI design, computational geometry, bioinformatics, ...).
- NP-completeness of new and of old problems is still established these days.



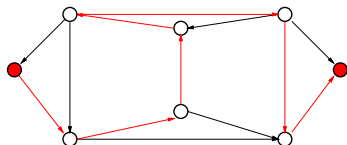
# Chains of Reductions: NPC Problems (in red, seen so far, in green today.)



## Section 5

# Hamiltonian Path Is NP-Complete

## (Directed) Hamiltonian Path



A **Hamiltonian path** in a directed  $G$  visits each node **exactly** once.

$\text{HAMPATH} = \{ \langle G, s, t \rangle : G \text{ has Hamiltonian path from } s \text{ to } t \}$

- We have seen that  $\text{HAMPATH} \leq_P \text{HAMCYCLE}$  and  $\text{HAMPATH} \leq_P \text{TRAVELING-SALESMAN}$
- Will now show

### Theorem 13

$\text{CSAT} \leq_P \text{HAMPATH}$

thus establishing

### Theorem 14

$\text{HAMPATH}, \text{HAMCYCLE}, \text{TRAVELING-SALESMAN} \in \mathcal{NP}$

## CSAT $\leq_P$ HAMPATH

For any CNF formula  $\phi$  with clauses  $c_1, \dots, c_\ell$  and variables  $x_1, \dots, x_k$ , we construct a directed graph  $G$  with vertices  $s$  and  $t$ , such that  $\phi$  is satisfiable **iff** there is a directed Hamiltonian path from  $s$  to  $t$ .

Turn to a separate pdf presentation:

<http://tau-cm.wdfiles.com/local--files/course-schedule/ham-reduction-new.pdf>

## Section 6

# **SUBSET-SUM Is NP-Complete**

## Reminder - SUBSET-SUM

$$\text{SUBSET-SUM} = \{ \langle S = \{x_1, \dots, x_k\}, t \rangle : \exists \{y_1, \dots, y_m\} \subseteq S : \sum_{j=1}^m y_j = t \}$$

### Example 15

- ▶  $\langle \{4, 11, 16, 21, 27\}, 25 \rangle \in \text{SUBSET-SUM}$  because  $4 + 21 = 25$ .
- ▶  $\langle \{4, 11, 16, 21, 27\}, 26 \rangle \notin \text{SUBSET-SUM}$

- Will now show

### Theorem 16

$3\text{SAT} \leq_P \text{SUBSET-SUM}$ .

thus establishing

### Theorem 17

$\text{SUBSET-SUM} \in \mathcal{NPC}$ .

## 3SAT $\leq_P$ SUBSET-SUM

Let  $\phi$  be 3-CNF a boolean formula with

- variables  $x_1, \dots, x_k$
- clauses  $C_1, \dots, C_\ell$ .

We “encode”  $\phi$  into a set  $S$  containing  $2k + 2\ell$  numbers in decimal notation, and a “target” number  $t$ , such that

$$\phi \in \text{3SAT} \iff (S, t) \in \text{SUBSET-SUM}$$

## Variable Encoding

Each variable  $x_i$  is encoded as two  $(k + \ell)$ -digit numbers:  $y_i$  and  $z_i$

Each decimal representation has two parts.

- Left-hand part,  $k$  digits: for both  $y_i$  and  $z_i$ , the  $i$ 'th digit is 1, rest are 0s
- Right-hand part,  $\ell$  digits: one digit for each clause
  - ▶  $j^{\text{th}}$  digit of  $y_i$  is 1 if  $C_j$  contains  $x_i$
  - ▶  $j^{\text{th}}$  digit of  $z_i$  is 1 if  $C_j$  contains  $\bar{x}_i$
  - ▶ rest are 0s

Example:  $\phi = (x_1 \vee \bar{x}_2 \vee \dots) \wedge \dots \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \dots)$

	1	2	...	$k$	$C_1$	...	$C_\ell$
$y_1$	1	0	...	0	1	...	0
$z_1$	1	0	...	0	0	...	1
$y_2$	0	1	...	0	0	...	0
$z_2$	0	1	...	0	1	...	1



## Clause Encoding

Each clause  $C_j$  is encoded into two equal  $(k + \ell)$ -digit numbers:  $g_j$  and  $h_j$ .

In both numbers, the  $(k + i)$ 'th digit is 1 and rest are 0.

	1	...	$k$	$C_1$	$C_2$	...	$C_\ell$
$\vdots$							
$g_1$	0	...	0	1	0	...	0
$h_1$	0	...	0	1	0	...	0
$g_2$	0	...	0	0	1	...	0
$h_2$	0	...	0	0	1	...	0

## Target Encoding

The target  $t$  is assigned the following  $(k + \ell)$ -digit number

- first  $k$  digits are 1
- last  $\ell$  digits are 3

	1	...	$k$	$C_1$	$C_2$	...	$C_\ell$
$\vdots$							
$t$	1	...	1	3	3	...	3

## Overall Encoding

	1	2	...	$k$	$C_1$	$C_2$	...	$C_\ell$
$y_1$	1	0	...	0	1	0	...	0
$z_1$	1	0	...	0	0	0	...	1
$y_2$	0	1	...	0	0	0	...	0
$z_2$	0	1	...	0	1	0	...	1
$\vdots$								
$g_1$	0	0	...	0	1	0	...	0
$h_1$	0	0	...	0	1	0	...	0
$g_2$	0	0	...	0	0	1	...	0
$h_2$	0	0	...	0	0	1	...	0
$\vdots$								
$t$	1	1	...	1	3	3	...	3

$$S = \{y_1, z_1, y_2, z_2, \dots, y_k, z_k, g_1, h_1, g_2, h_2, \dots, g_\ell, h_\ell\}.$$

Transformation takes  $O(n^2)$  time.

$\phi$  is satisfiable  $\implies (S, t) \in \text{SUBSET-SUM}$

Fix a satisfying assignment to  $\phi$ , construct an **initial** solution  $V$  to  $(S, t)$  as follows: If  $x_i = 1$  add  $y_i$  to  $V$ ; otherwise, add  $z_i$  to  $V$ .

- Each of the **first**  $k$  digits of  $\sum_{v \in V} v$  is **1** (as in  $t$ )
- For  $1 \leq j \leq \ell$ , consider the  $(k + j)$ 'th digit of  $\sum_{v \in V} v$ .
  - ▶ **at least 1** and **not more** than **3** literals in  $C_j$  are **1**  
 $\implies$  the  $(k + j)$ 'th digit is between **1** and **3**.
  - ▶ Add "enough"  $\{h_i, g_i\}$  to  $V$  to bring this digit up to **3**.  
(Doing this does **not** effect the other digits of  $(\sum_{v \in V} v)$ ).

Hence,  $\sum_{v \in V} v = t$ . ♣

$(S, t) \in \text{SUBSET-SUM} \implies \phi$  is Satisfiable

Fix a solution  $V$  to  $(S, t)$ .

- 1 Summation causes **no carries**
  - ▶ All digits in the numbers of  $S$  are either **0** or **1**.
  - ▶ Since  $\phi$  is in **3CNF**, each "column" contains at most **five 1s**.
- 2 For each  $i \in [k]$ , the subset  $V$  contains **one** of  $y_i$  or  $z_i$ , but **not both**.
- 3 For each  $i \in [\ell]$ , the subset  $V$  contains **at least** one element of  $\{y_1, z_1, \dots, y_k, z_k\}$  whose  $(k + i)$ 'th bit is **1**:
  - ▶ Each of final  $\ell$  "columns" sums to **3**.
  - ▶ The set  $\{g_i, h_i\}$  contributes at most **2**
  - ▶ So at least one must come from literal taking the value **1**

Here is the satisfying assignment.

For  $i \in [k]$ : if  $y_i \in V$  set  $x_i = 1$ ; Otherwise set  $x_i = 0$ .

The assignment satisfies  $\phi$  because

- ▶ No contradicting assignments (see **Item 2**)
- ▶ All clauses are satisfied (see **Item 3**)



## Section 7

# Integer Programming (IP) is NP-Complete

## Integer Programming (IP)

### Definition 18

A **linear inequality** has the form

$$a_1x_1 + a_2x_2 + \dots + a_nx_n \leq b$$

where  $a_1, \dots, a_n, b$  are real **numbers**, and  $x_1, \dots, x_n$  are real **variables**.

The Integer Programming (IP) problem]:

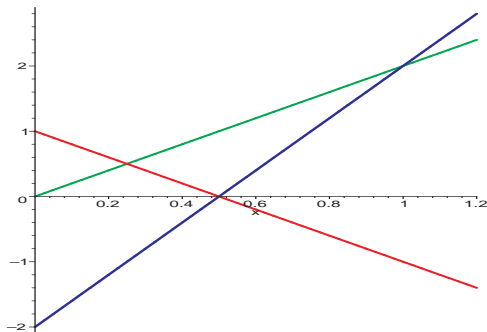
**Input:** A **set** of linear inequalities with **integer** coefficients in variables  $x_1, x_2, \dots, x_n$ .

**Question:** Does this set has an **integer solution** – all  $x_j$  are **integers**?

## Integer Programming: Example

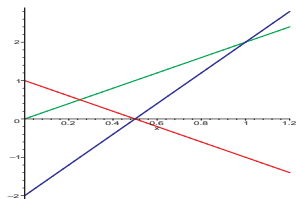
Consider the following system of linear inequalities

$$\begin{aligned}y &\leq 2x && \text{below green line} \\ -2x + 1 &\leq y && \text{above red line} \\ 4x - 2 &\leq y && \text{above purple line} \\ 0 &\leq x \leq 1 \\ 0 &\leq y \leq 2\end{aligned}$$





## Integer Programming: Example, 2



This set does have a **unique** (integer) solution: the right hand corner of the solid triangle,  $(1, 2)$ .

But if we change the constraint on  $y$  to  $0 \leq y \leq 1$ , then we'd have no solution with integer coordinates, even though there are many solutions with **rational**, or **real**, coordinates.

# The Language IP

The language of integer linear inequalities with integer solution:

$$\text{IP} = \{ \langle e_1, \dots, e_m \rangle : \\ e_1, \dots, e_m \text{ are integer linear inequalities with (joint) integer solution} \}$$

## Theorem 19

$\text{IP} \in \mathcal{NPC}$ .

### Question 20

Do we always have a **small enough** certificate?

Consider the following equations

$$\begin{aligned}x_0 &= 1 \\x_1 &= 2x_0 \\&\vdots \\x_m &= 2x_{m-1}\end{aligned}$$

The solution  $x_m = 2^m$  !

### Question 21

Solving a linear set of  $m$  equations with  $m$  unknowns:  $Ax = b$ , where  $|a_{i,j}|, |b_j| < M$ . How large can  $|x_j|$  get?

**Answer:** Recall Cramer's Rule, using determinants.  $|A| < m! \cdot M^m$ .  
(Same holds for inequalities.)

Hence, solution size is polynomial bounded.

CSAT =  $\{\langle \phi \rangle : \phi \text{ is a satisfiable CNF Boolean formula}\}$

Let  $\phi$  be a CNF formula with  $\ell$  clauses and  $k$  variables  $x_1, \dots, x_k$ .

We reduce  $\phi$  to an IP instance  $E$  with  $2k$  variables  $x_1, y_1, \dots, x_k, y_k$ ,  $\ell + 2k$  linear inequalities, and  $k$  linear equalities.

- Each  $x_i$  in  $\phi$  corresponds to the variable  $x_i$  in  $E$ .
- Each  $\overline{x_i}$  in  $\phi$  corresponds to the variable  $y_i$  in  $E$ .
- For each  $i$ , we add  $E$  the inequalities  $x_i \geq 0$ ,  $y_i \geq 0$ , and the equality  $x_i + y_i = 1$  (what do these three express?)
- For each clause  $C$  of  $\phi$ , we add  $E$  the inequality  $\sum_{z \in C} z \geq 1$  (what does this inequality express?)

For example,  $(x_1 \vee \overline{x_2} \vee \overline{x_3} \vee x_4)$  is translated to  $x_1 + y_2 + y_3 + x_4 \geq 1$ .

## Reduction Example

$\phi = (x_1 \vee \overline{x_2} \vee \overline{x_3} \vee x_4) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6})$  is translates to

$$x_1 + y_2 + y_3 + x_4 \geq 1$$

$$x_3 + y_5 + x_6 \geq 1$$

$$x_3 + y_6 \geq 1$$

$$x_1 \geq 0, y_1 \geq 0, x_1 + y_1 = 1$$

$$x_2 \geq 0, y_2 \geq 0, x_2 + y_2 = 1$$

$$x_3 \geq 0, y_3 \geq 0, x_3 + y_3 = 1$$

$$x_4 \geq 0, y_4 \geq 0, x_4 + y_4 = 1$$

$$x_5 \geq 0, y_5 \geq 0, x_5 + y_5 = 1$$

$$x_6 \geq 0, y_6 \geq 0, x_6 + y_6 = 1$$

## CSAT $\leq_P$ IP: Validity (sketch)

Should show

- 1 Reduction,  $g$ , is poly-time computable
  - 2  $\phi \in \text{CSAT} \implies g(\phi) \in \text{IP}$
  - 3  $g(\phi) \in \text{IP} \implies \phi \in \text{CSAT}$ .
- 1 Poly time: easy (verify details!).
  - 2 Suppose  $\phi \in \text{CSAT}$ . Take a satisfying assignment.  
If  $x_i = 1$ , in  $g(\phi)$  assign  $x_i = 1, y_i = 0$ .  
If  $x_i = 0$ , in  $g(\phi)$  assign  $x_i = 0, y_i = 1$ .
    - ▶ "Sanity check" constraints (i.e.,  $x_i \geq 0, y_i \geq 0, x_i + y_i = 1$ ) are satisfied.
    - ▶ "Clause constraints" (i.e.,  $\sum_{z \in C} z \geq 1$ ) are satisfied due to at least one literal satisfied in each clause.
    - ▶ Implying  $g(\phi) \in \text{IP}$ .
  - 3  $g(\phi) \in \text{IP} \implies \phi \in \text{CSAT}$ , is similar.

